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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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08/11/2009

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

EXAMINER				
GOODLEY, JAMES E				
ART UNIT	PAPER NUMBER			

2817

DATE MAILED: 08/11/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/593.542	09/19/2006	Tomoo Ikeda	01165.0963	4483

TITLE OF INVENTION: OSCILLATOR DEVICE AND METHOD FOR MANUFACTURING THE DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	11/12/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	)R	ATTORNEY	DOCKET NO.	CONFIRMATION NO.
10/593,542	09/19/2006		Tomoo Ikeda		01165	5.0963	4483
TITLE OF INVENTION	: OSCILLATOR DEVIC	CE AND METHOD FOR	MANUFACTURING T	HE DEVICE			
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE TOT	AL FEE(S) DUE	DATE DUE
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GOODLEY		2817	331-154000				
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Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.							
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			régistered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or	type)			
PLEASE NOTE: Unl recordation as set fort	less an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on the T a substitute for filing a	patent. If an assign in assignment.	nee is identifie	d below, the do	cument has been filed for
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Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	☐ Individual ☐ C	orporation or c	other private grou	p entity Government
4a. The following fee(s)	are submitted:	41	o. Payment of Fee(s): (Pl	ease first reapply a	ny previously	paid issue fee sl	nown above)
Issue Fee	No small entity discount p	pormitted)	☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.				
	# of Copies		The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
5. Change in Entity Sta	ture (from status indicates	d abova)	overpayment, to De	posit Account Numb	er	(enclose an	extra copy of this form).
	is SMALL ENTITY statu		☐ b. Applicant is no le	onger claiming SMA	LL ENTITY s	tatus. See 37 CFI	R 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than Office.	n the applicant; a reg	istered attorne	y or agent; or the	assignee or other party in
Authorized Signature				Date			
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10/593,542	09/19/2006	Tomoo Ikeda	01165.0963	4483	
22852 75	90 08/11/2009		EXAM	INER	
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER			GOODLEY, JAMES E		
LLP			ART UNIT	PAPER NUMBER	
901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413		2817			
			DATE MAILED: 08/11/2009		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 177 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 177 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	A P a a C a a Al a	A 11 (/- )	
	Application No.	Applicant(s)	
Notice of Allewshility	10/593,542	IKEDA ET AL.	
Notice of Allowability	Examiner	Art Unit	
	JAMES E. GOODLEY	2817	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	S (OR REMAINS) CLOSED in the open of the community or other appropriate community of the co	nis application. If not included cation will be mailed in due cour	se. <b>THIS</b>
1. $\boxtimes$ This communication is responsive to <u>5/27/2009</u> .			
2. X The allowed claim(s) is/are <u>1-4,7,10,11,14,17-21,24-26,29</u>	9,30,33 and 36-38.		
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority u</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents hav</li> <li>2.  Certified copies of the priority documents hav</li> </ul>	re been received. re been received in Application	No	
<ol><li>Copies of the certified copies of the priority do</li></ol>	ocuments have been received i	n this national stage application f	rom the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDON! THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with the require	ments
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			CE OF
5. CORRECTED DRAWINGS ( as "replacement sheets") mu	ist be submitted.		
(a) I including changes required by the Notice of Draftsper	rson's Patent Drawing Review (	PTO-948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
<ul><li>(b) ☐ including changes required by the attached Examiner Paper No./Mail Date</li></ul>	's Amendment / Comment or in	the Office action of	
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in			k) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT</li> </ol>			the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5 🗖 Notice of Info	mal Patent Application	
<ol> <li>Notice of References Cited (FTO-692)</li> <li>Dotice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		• •	
<ol> <li>Information Disclosure Statements (PTO/SB/08),</li> </ol>	Paper No./Ma	ail Date mendment/Comment	
Paper No./Mail Date <u>7/6/2009</u>			
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material		atement of Reasons for Allowan	ce
	9. ☐ Other		
/James E Goodley/			
Examiner, Art Unit 2817			

### **DETAILED ACTION**

# Response to Arguments

Applicant's arguments, filed 5/27/2009 with respect to the rejection based on Furuyama in view of Sakata have been fully considered and are persuasive. The rejection has been withdrawn and reasons for allowance are given below.

Claims 2-4 and 19-21 have been rejoined to the application, as all inventions required for restriction in the office action mailed on 9/17/2008 depend from an allowable base claim.

### Allowable Subject Matter

Claims 1-4, 7, 10, 11, 14, 17-21, 24-26, 29, 30, 33 and 36-38 are allowed.

The following is an examiner's statement of reasons for allowance:

Regarding **claim 1**, neither Furuyama nor Sakata disclose or suggest, "a package," "a mounting base with a second reference portion," "a bonding material for fixing [an] oscillator ... by <u>utilizing a self-alignment effect occurring due to surface tension</u>," and "wherein said second reference portion is <u>formed by inclining at a certain angle with respect to a center line of said package.</u>"

Regarding **claim 18**, neither Furuyama nor Sakata disclose or suggest, "forming a package having a mounting base with a second reference portion which is <u>formed by inclining at a certain angle with respect to a center line of said package;</u>

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placing said oscillator on said mounting base by aligning said first reference portion with said second reference portion by <u>utilizing a self-alignment effect occurring</u> due to surface tension of a bonding material."

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAMES E. GOODLEY whose telephone number is (571)272-8598. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert J. Pascal can be reached on (571)272-1769. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/James E Goodley/ Examiner, Art Unit 2817

/Robert Pascal/ Supervisory Patent Examiner, Art Unit 2817